Roundtable on Sustainable Palm Oil
Supply Chain Certification Report

Report no.: SCCS-16020
Assessment against RSPO Supply Chain Certification Systems, November 2014

PT Aria Persada Indonesia
Gresik - East Java

Date of assessment: January, 22 2016

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1.0 SCOPE OF SUPPLY CHAIN CERTIFICATION ASSESSMENT

1.1 Executive Summary and Scope of Audit

PT Aria Persada Indonesia is a foreign investment company under Wilmar International group, which is engaged in the purchasing and processing of RBD palm oil (RBD PO) and selling of m-Tag (methathesized triglycerides) and olefin.

The operations of PT Aria Persada Indonesia were assessed against the RSPO Supply Chain Certification Systems (SCCS) document (November 2014). The scope of the Supply Chain Certification System assessment covers the implementation of the Mass Balance supply chain model for m-Tag and olefin produced by company. This is not a multi-site certification.

The volume of Biorefinery product was produced by PT Aria Persada Indonesia – Gresik in year 2015 and projection of product sold for year 2016.

<table>
<thead>
<tr>
<th>Product Type</th>
<th>Production for year 2015</th>
<th>Projection of product sold for year 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>mTag</td>
<td>0.00</td>
<td>1,646.00</td>
</tr>
<tr>
<td>Olefin</td>
<td>0.00</td>
<td>2,976.00</td>
</tr>
</tbody>
</table>

Note:
Stock of mTag and olefin per 22 January 2016 are 1,646.86 mt and 2,977.14 mt

The main audit was carried out on January 22, 2016 and a total of 2 (two) non-conformities were found during assessment.

1.2 Certification Details

The details of RSPO Supply Chain certification of PT Aria Persada Indonesia are as per the table below

Table 1: RSPO Supply Chain Certification details of PT Aria Persada Indonesia

<table>
<thead>
<tr>
<th>RSPO Membership no.:</th>
<th>2-0017-05-000-00 on behalf Wilmar International Limited</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSPO Supply Chain Certificate no.:</td>
<td>824 503 16020</td>
</tr>
<tr>
<td>Date of RSPO Supply Chain certificate &amp; validity:</td>
<td>2016-03-06 until 2021-03-05</td>
</tr>
</tbody>
</table>

Refer to Appendix 1 for further details of the RSPO Supply Chain certificate.

1.3 Organisational Information / Contact Person

Contacts details of the company are as follows:

<table>
<thead>
<tr>
<th>Company Name:</th>
<th>PT Aria Persada Indonesia</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address:</td>
<td>Head Office : Multivision Tower 15th floor, Jl. Kuningan Mulia Kav. 9B Jakarta Selatan, Indonesia</td>
</tr>
<tr>
<td></td>
<td>Plant : Jl. Kapten Darmo Sugondo No. 56, Indro Village, Kebomas Subdistrict, Gresik District, East Java 61124</td>
</tr>
<tr>
<td>Contact Person:</td>
<td>Purnomo Adi</td>
</tr>
<tr>
<td>Telephone / Fax:</td>
<td>+62 – 031 3989101 / +62 - 031 3978922</td>
</tr>
<tr>
<td>Email:</td>
<td><a href="mailto:purnomo.adi@wilmar.co.id">purnomo.adi@wilmar.co.id</a></td>
</tr>
</tbody>
</table>
Note:
1. Location of PT Aria Persada Indonesia inside of PT Wilmar Nabati Indonesia areas with coordinate is 07° 10' 49.4" S; 112° 40' 03.7" E.
2. Utilities & service agreement dated on 23 March 2015 between PT Aria Persada Indonesia, PT Wilmar Nabati Indonesia and Elevance renewable science – North America. Based on agreement it so that job and responsibility PT Wilmar Nabati Indonesia are provide to the biorefinery a site manager to direct, manage and oversee the integration of biorefinery with WINA-Gresik facility and to assist with start-up, operation and maintenance of the biorefinery. However, in schedule 1 (WINA Service) has inform of job and responsibility PT WINA-Gresik are support of maintenance service, HSSE compliance assistant, laboratory service, product loading and unloading, feedstock, inventory management, book & records and reporting requirement.

1.4 Actual received and sold volumes.

Table 2: Records of Material Received/ Purchased year 2015

<table>
<thead>
<tr>
<th>Supplier</th>
<th>RSPO Certificate Number</th>
<th>Product</th>
<th>UTZ Number</th>
<th>Volume (MT)</th>
<th>Status / Model SC</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>-</td>
</tr>
</tbody>
</table>

Note: Last time, the company was received material (RBD PO) is 20 November 2014 from PT WINA-Gresik.

Table 3: Records of Palm Oil Product Sold year 2015

<table>
<thead>
<tr>
<th>Buyer</th>
<th>RSPO Certificate Number</th>
<th>Product</th>
<th>UTZ Number</th>
<th>Volume (MT)</th>
<th>Status / Model SC</th>
</tr>
</thead>
<tbody>
<tr>
<td>PT Wilmar Nabati Indonesia (Gresik)</td>
<td>185 0 2266 001</td>
<td>Olefin</td>
<td>PO1000000493</td>
<td>900.00</td>
<td>-</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td></td>
<td>900.00</td>
<td></td>
</tr>
</tbody>
</table>

Note: -

1.5 Summary of Previous Assessment

This is first RSPO SCCS audit for PT Aria Persada Indonesia so that summary of previous assessment not available.
### 2.0 ASSESSMENT PROCESS

#### 2.1 Certification Body

PT TUV Rheinland Indonesia is member of Group TÜV Rheinland Group, a global leader in independent testing and assessment services. The TÜV Rheinland Group was established in 1872 with offices located in over 490 locations in 62 countries on all five continents. PT TUV Rheinland Indonesia offers certification for a wide range of management systems according to established international standards including ISO 9001, ISO 14001, OHSAS 18001, SA 8000, RSPO (RSPO-ACC-013 dated on June 06, 2014), ISPO (LS-P&K-005-ISPO dated on May 10, 2012), SFM (mandatory and voluntary), as well as CDM Validations and Verifications. PT TUV Rheinland Indonesia’s office is located in Jakarta, Indonesia.

#### 2.2 Qualifications of Lead Assessor and Assessment Team

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Qualifications / Experience</th>
</tr>
</thead>
</table>
| Hendra Fachrurozy | Lead Auditor | **Education**: Bachelors Degree in Forestry - Bogor Agriculture Institute, Indonesia, (1995 to 2000).  
**Trainings attended**: ISO 9001:2008 lead auditor course - Neville Clark (2011); ISO 14001:2004 lead auditor course – TUV Rheinland Indonesia (2011); SMK3 auditor course – Department of Manpower and Transmigration of The Republic of Indonesia (2009); Sustainable Forest Management (SFM) - Forest Stewardship Council (FSC) system training; Chain of Custody training for FSC System; Sustainable Forest Management (SFM) – Forestry Education and Training Centre (2010); Timber Legality of Verification – Forestry Education and Training Cetre (2010); RSPO Lead Auditor Course – Pro Forest & Wild Asia (2011); SCCS RSPO by David Ogg Consulting (September 2012).  
**Name**


**Position**

**Qualifications / Experience**


### 2.3 Assessment Methodology & Agenda

The supply chain certification main audit was conducted on January 22, 2016 as per audit plan below. The assessment was carried out in accordance with TUV Rheinland Indonesia’s RSPO audit procedure as well as the RSPO Supply Chain Certification Systems document.

An on-site assessment was conducted and the assessment team carried out field and document assessments of compliance to RSPO Supply Chain Certification System requirements.

The company proposed the correction and corrective action for all identified non-conformities raised to the certification body 15 days after the closing meeting. Verification of closure of non-conformances was conducted through document checked 4 weeks after the closing meeting of the main audit. The main audit agenda is as explained below:

<table>
<thead>
<tr>
<th>Date</th>
<th>Location/ Main sites</th>
<th>Main activities</th>
</tr>
</thead>
</table>
| January 22, 2015 | Biorefinery plant - Gresik | Opening Meeting  
Company Profile;  
Biorefinery tour:  
- Incoming material  
- Dispatch product  
- Product processing  
- Procurement  
- Outsourching control  
- PPIC office  
- Sales document  
Document verification such as mass balance records, receipt material records, dispatch product records, training records, claim, etc  
Lunch and break  
Continue with general supply chain requirement documentation  
RSPO SCCS Model C (MB) (record keeping, processing, mass balance system, conversion ratio and yield scheme)  
Closing meeting |
3.0 ASSESSMENT FINDINGS

3.1 Description of Supply Chain Management System

PT Aria Persada Indonesia material uses RBD palm oil which are supplied by PT Wilmar Nabati Indonesia (Gresik) under Wilmar Group.

During main audit it was verified that the biorefinery has been produced mTag and olefin as explained in section 1.1 above to supply for PT Wilmar Nabati Indonesia (Gresik) where year 2016 was projected sell to other company too and has been purchased/transfer certified material (RBD palm oil) from certified supplier i.e. PT Wilmar Nabati Indonesia (Gresik).

There are found some non conformities regarding company’s implementation SCC system according RSPO SCC standard November 2014 Module C (Mass Balance).

The following is a description of the company’s supply chain management system according to the RSPO SCCS requirements, including status of compliance of the company and their outsourced third parties to RSPO SCCS requirements:

**Section 1: 5. General Chain of Custody System Requirements for the Supply Chain**

**5.1 Applicability of the General Chain of Custody System Requirements for the supply chain**

**Findings:**

Management PT Aria Persada Indonesia has commitment to implement RSPO SCCS. Supply chain model implemented by company is Mass Balance system (Module C) due to the nature of incoming material from company's supplier are Mass Balance and company’s production facilities are not sufficient enough to implement others supply chain model.

The company has took legal ownership and physically handles product at location under the control of the company. Year 2015, selling transaction to buyer only so that no trader and distributor.

PT Aria Persada Indonesia is RSPO member under Wimar International Ltd with membership number 2-0017-05-000-00.

**Compliance status : Full Compliance**

**5.2 Supply chain model**

**Findings:**

The company has applied Mass Balance system (Module C) following the nature of incoming material (RBD palm oil) came from certified supplier with Mass Balance model and company’s production facilities are not sufficient to implement others supply chain model.

**Compliance status : Full Compliance**

**5.3 Documented procedures**

**Findings:**

Based on explaination on section 1.3 above ,PT Aria Persada Indonesia establish some standard operation procedure on behalf PT Wilmar Nabati Indonesia to fulfill the requirements of RSPO SCCS such as 1). RSPO System (QP/WINA-QA-16-035 rev.0) regarding incoming material, outgoing product, report mass balance, NC condition, complaint stakeholder, internal audit, control record, and validasi & verification supplier raw material, 2). Mass balance and traceability for RSPO SCCS (SOP/WINA-PPC-12-008 rev.03), 3). Con-
The company has provided the results of simulation/trial regarding implementation of RSPO SCCS procedure that compliance with the supply chain model requirements.

The name of the person having overall responsibility for and authority over the implementation of these requirements and compliance with all applicable requirements is Mr. Purnomo Adi Nugroho. Top management assigned Mr. Purnomo as RSPO SCCS management representative through decree letter no. 002/PGA-WINA/SK/IX/2014 dated September 1, 2014 signed by Executive Director Mr. Taufik Tamin, similar job description explain on the letter for SCC implementation. During the audit time, Mr. Purnomo has good knowledge and able to demonstrate awareness of the facility’s procedures.

Compliance status: Full Compliance

5.4. Purchasing and goods in

Findings:

The company has a mechanism to receiving material (in RSPO System (QP/WINA-QA-16-035)) but it was not explained handling of raw material (RBDPO/CSRPO) which appropriate with type of raw material in PT Aria Persada Indonesia. Futhermore, mechanism of ensure that the raw material as being RSPO certified or not not available in RSPO system (QP/WINA-QA-16-035). Impact of condition it, the results of simulation/trial not compliance with requirement. It was raised as non-conformity (NCR no.2016-01 of 02).

The company has provided form of purchasing order (PO) where it has informed the material category agreed with their supplier i.e MB. Based on table 2, volume of receipt certified material year 2015 is 0 MT for RPO/RBDPO.

The company has mechanism to ensure validity of the Supply Chain Certification of suppliers through six monthly supplier evaluations and will be checked via the list of RSPO Supply Chain Certified facilities on the RSPO website www.rspo.org. Whereas procedure for handling non-conformance material and/or documents was available (RSPO System).

List of supplier per December 31, 2015 are PT Wilmar Nabati Indonesia (Gresik) and there is not handling non-conforming material/product/document in receipt material.

Compliance status: Non Compliance

NCR No.2016-01 of 02

The company has a mechanism to receiving material (in RSPO System (QP/WINA-QA-16-035)) but it was not explained handling of raw material (RBDPO/CSRPO) which appropriate with type of raw material in PT Aria Persada Indonesia. Futhermore, mechanism of ensure that the raw material as being RSPO certified or not not available in RSPO system (QP/WINA-QA-16-035).

5.5 Outsourcing Activities

Findings:

Year 2015, PT Aria Persada Indonesia has no outsourcing process for material receipt or finished good transportation because material receipt and product dispatch to PT Wilmar Nabati Indonesia by pipeline or transfer between storage tank so that there are not evidence regarding ensure that the independent third party complies with the requirements of the RSPO SCC standard, agreement/contract, and the name and contact detail of contractor used.
The company plan that there are buyers other PT Wilmar Nabati Indonesia next time so that outsource process for transportation available. The company has regulated about outsourced program in procedure QP/WINA-QA-13-033 including the outsourced process for transportation. For more detail about the outsourcing selection process, monitoring and evaluation including agreement contract for third parties has been described in procedure transportation approval and evaluation (SOP/WINA-LOG-15-003).

Compliance status: Full Compliance

### 5.6 Sales and goods out

**Findings:**

The sales and goods out mechanism of the company are described in their procedure of RSPO System (QP/WNA-QA-16-035 rev.0). It has explained that outgoing product or sales and goods out from PT Aria Persada Indonesia transfer tank to tank by pipeline to PT Wilmar Nabati Indonesia with form of bon transfer only as delivery document but if direct to other buyer/customer using delivery document appropriate with outgoing product procedure.

The company has provided sample document or the results of simulation/trial that it has informed about supply chain certificate number (sample), supply chain model, the date of document issued, the delivery date, description of the product, quantity and unique identification number regarding delivery product.

Several records issued for outgoing product depend on the transfer method used such as:

- **Vessel:** Shipping Instruction (SI); Bill of lading (B/L); Certificate of Analysis (F-WINA/QA/011); Shipment Loading Detail (SLD).
- **Truck:** Sales Order (SO); Delivery Order (DO), Certificate of Analysis (F-WINA/QA/011), Weight Bridge Ticket, delivery Note (F-WINA/WH/204) and F-WINA/TXT/404.
- **Container or ISO Tank:** Packing list, Bill of Lading (B/L), Certificate of Analysis (F-WINA/QA/011); Weighbridge Ticket.

Compliance status: Full Compliance

### 5.7 Registration of transactions

**Findings:**

PT Aria Persada Indonesia has complied with supply chain actor criterion (biorefinery, take legal ownership & physically handle RSPO product and part of the supply chain of RSPO product) but the company has not been registered their transaction in eTrace because company has not been got RSPO certificate. Future, next audit will verified for compliance it.

Compliance status: Full Compliance

### 5.8 Training

**Findings:**

The company has conducted some training related to the RSPO SCC on 2015 for all staff involved in RSPO SCC systems. The training are :

1. Awareness training RSPO SCC conducted on January 07, 2015 trained by Mr. Purnomo Adi as Management Representative and attended by 43 participants.
2. New version of P&C RSPO SCCS e-trace training conducted on April 09, 2015 in Head Office Jakarta for Mr. Purnomo Adi Nugroho as Management Representative and Febiani Agustina as PPIC and Exim.
3. New version of P&C RSPO SCCS & e-trace training conducted on April 18, 2015 trained by Mr. Purnomo Adi Nugroho as Management Representative and Febiani Agustina as PPIC and Exim and attended
4. Awareness training RSPO SCC conducted on January 18, 2016 trained by Ms. Aringgamutia as Sustainability Head Office Jakarta. The training has separated in 2 (two) batch on 10.00-12.00 attended by 15 participants and 14.00-16.00 attended by 26 participants where there are participant from PT Aria Persada Indonesia – production section.

The training documentation are include:
- a. Training DB Details
- b. Attendance list
- c. Training evaluation
- d. Training report

The company also has identified the training needed in Matrix Training 2016 (F/WINA-PGA-09-117) including the training for RSPO SCC that will be held on November 2016.

**Compliance status: Full Compliance**

### 5.9 Record keeping

**Findings:**
The company has created procedures and work instruction related to RSPO SCC system that already covered all aspect of RSPO SCC requirements. All documented procedure will be evaluated and monitored every year through internal audit process that will be conduct every year. The last internal audit by Internal Auditor conducted on June 31, 2015 and the last internal audit by Sustainability auditor from HO Jakarta conducted on January 19, 2015.

The company has created the documented procedure such as flow process, standard operational procedure and also work instruction to fulfil the requirements of the new RSPO SCCS systems, general information and procedure for RSPO SCC systems has been described in RSPO System (QP/WINA-QA-16-035) with others supporting procedures as described in clause 5.3.

In the RSPO System (QP/WINA-QA-16-035) stated that all records associated with RSPO SCC systems and implementation, including training records will be kept at least for two (2) years.

**Compliance status: Full Compliance**

### 5.10 Conversion factors

**Findings:**
Conversion rate at Biorefenery process was available on mass balance report or production report where it has documented and applied consistently. Extraction rate for mTag (methathesized triglyceride) is 80% from volume of raw material (RBDPO) and olefin is 20% from volume of raw material (RBDPO/RPO).

**Compliance status: Full Compliance**

### 5.11 Claims

**Findings:**
During audit, the company has not been claimed because they has not been got RSPO certificate. The RSPO rules on communication and claim was available in company but not implemented and MR has understood regarding it.

**Compliance status: Full Compliance**
5.12 Complaints

Findings:
The company has regulated the procedure Handling complaint (QP/WINA-QA-10-008 Rev.02 dated March 01, 2015 for collecting and resolving stakeholder complaints including the flow diagram process. The procedure applies to handle internal and external complaint. Head Unit and Quality Assurance are responsible to ensure all complaints occurred must be answered, perform investigation, correction, corrective and preventive action, and inform to Marketing within time frame given. And the Dept. Head is responsible to perform root cause of analysis, take necessary corrective action, and conduct preventive action for all complaint.

To follow up all complaints from stakeholder, the company use Complaints Registration System (CRS). In the system informed:
- Complaint category
- Complaint description
- Manufacturer
- Product grouping
- Reported by
- Reported on
- Received date
- Assigned to
- Status
- Technical status
- Commercial status
- Validity of claim status
- Last updated person

The company also established procedure for measurement customer satisfaction & sales service (QP/WINA-QA-10-009) Rev.02 dated February 24, 2015 to know customer satisfaction and give a service to the product which produced by PT Aria Persada Indonesia.

Compliance status: Full Compliance

5.13 Management review

Findings:
During audit, the company has not been carried out internal management review meeting appropriate to the scale and nature of the activities undertaken. Based on RSPO system (QP/WINA-QA-16-035 rev.0) that management review will do every a year minimum. It was raised as non-conformity (NCR No.2016-02 of 02).

Compliance status: Non Compliance

NCR No.2016-02 of 02

the company has not been carried out internal management review meeting appropriate to the scale and nature of the activities undertaken

Section 3: Modular Requirements: Module C – Mass Balance
### C.1. Definition

**Findings:**
PT Aria Persada Indonesia has chosen Mass Balance (MB) as the supply chain model because the facility is not sufficient to implement other supply chain models.

**Compliance status:** Full Compliance

### C.2. Supply chain requirements

**Findings:**
The company did not separate the storing or controls in the production process, however in the documentation for product received and product delivery the company will use RSPO-Mass Balance stamp to distinguish between certified and non-certified products. Based on the structure of plant and year 2014 that raw material from PT Wilmar Nabati Indonesia only where they have implemented mass balance supply chain model.

The organization has established a mechanism for control and maintenance of the data and document used in production process following Quality Manual (M-WINA/QMS/001) and Standard Operation Manual, in which the retention time for all records and reports already defined for at least for five (5) years. The storage and maintenance of documents is the responsibility of the respective departments.

The bio refinery has form of balance all receipts of RSPO certified material and non-certified material and deliveries of RSPO certified product on daily basis. All volumes of products that are delivered are deducted from the material accounting system according to actual daily conversion ratios.

**Compliance status:** Full Compliance

### C.3. Processing

**Findings:**
The organization has established a mechanism for control and maintenance of the data and document used in production process following Quality Manual (M-WINA/QMS/001) and Standard Operation Manual, in which the retention time for all records and reports already defined for at least for five (5) years. The storage and maintenance of documents is the responsibility of the respective departments.

The organization has form of raw material, production and sales where it has informed receipts of RSPO certified material and non-certified material and deliveries of RSPO certified product on daily basis. Based on raw material, production and sales year 2015, the quantity of physical material input and output at tank was monitored and output material supplied to customers from product tank not exceed the input material received at raw material tank.

**Compliance status:** Full Compliance

### C.4. Continuous accounting system

**Findings:**
In Mass Balance and Traceability for RSPO SCCS procedure (SOP/WINA-PPC-12-008) Rev. 03 dated January 18, 2016 in point 5.4 Mass Balance Calculation the company mentioned and described about selection
fixed inventory periods to record all production process of certified material and product.

Compliance status: Not Applicable

C.5. Fixed Inventory Period

Findings:
In Mass Balance and Traceability for RSPO SCCS procedure (SOP/WINA-PPC-12-008) Rev. 03 dated January 18, 2016 in point 5.4 Mass Balance Calculation the company informs about the fixed inventory periods so that the quantity of material input and output are balanced within a fixed inventory period which does not exceed 3 months. This is main audit, evidence of overdrawn data or not at time of inventory and information of data carried over from the previous period was not available. It will be verified during next surveillance audit.

Compliance status: Full Compliance

C.6. Conversion ratios

Findings:
Based on raw material, production and sales year 2015 that volume of Mtag and olefin delivery are deducted from the material accounting system according to conversion ratio as set out by RSPO i.e 1:1 MB. The company purchase raw material from PT Wilmar Nabati Indonesia where they has implemented MB supply chain model so that segregated to mass balance supply chain model yield scheme not applicable.

Compliance status: Full Compliance

C.7. Yield schemes

Findings:
Raw material in PT Aria Persada Indonesia is RBD Palm Oil and the products are MTag and olefin so that CPO & PK mass balance supply chain model yield scheme not applicable.

Compliance status: No Applicable

3.2 Status of Previously Identified Non-conformities

This is main audit so that status of previously identified non-conformity not available.

3.3 Identified Non-conformances against RSPO SCCS Requirements, Corrective Actions Taken and Auditors Conclusions

5.4. Purchasing and goods in

NCR No.2016-01 of 02
The company has a mechanism to receiving material (in RSPO System (QP/WINA-QA-16-035)) but it was not explained handling of raw material (RBDPO/CSRPO) which appropriate with type of raw material in PT Aria Persada Indonesia. Furthermore, mechanism of ensure that the raw material as being RSPO certified.
or not available in RSPO system (QP/WINA-QA-16-035).

**Corrections:**
To revision all related procedure so that to make sure detail raw material to PT API and system control to make sure material coming is certified or not.

**Corrective Action:**
The company ensure all activities and procedure according RSPO SCCS requirement

**Auditor Conclusions:** Closed

**Date of closure:** February 21, 2016

**Verification result:**
The company has provided revision of standard operation procedure i.e RSPO System (QP/WINA-QA-16-035 rev.01) and control feed material (SOP/API-PROD-16-009). The RSPO system procedure (section incoming raw material) has explained regarding mechanism of incoming material in PT Aria Persada Indonesia. Incoming raw material doing transfer by pipeline tank to tank from supplier (PT Wilmar Nabati Indonesia) to PT Aria Persada Indonesia and identification of RSPO certified will be put in Bon Transfer form. Supervisor of production & PPIC make sure all identification & verification of RSPO-MB material from Bon Transfer. Whereas, explain of incoming material in Control feed material procedure (SOP/API-PROD-16-009 rev.01) are incoming material through transfer by pipe from PT Wilmar Nabati Indonesia) & stored in tank farm PT Aria Persada Indonesia, if needed so material will then be transferred to plan for processing, each transfer is recorded in the bon transfer (transfer form is the record for incoming material), RSPO-MB identification stamp in Bon Transfer and production supervisor will be verification of identification material RSPO-MB.

### 5.13. Management review

**NCR No.2016-02 of 02**
the company has not been carried out internal management review meeting appropriate to the scale and nature of the activities undertaken

**Correction:**
Do make a management review meeting, along with PT Wilmar Nabati Indonesia to implement RSPO-SCCS in PT Aria Persada Indonesia

**Corrective Action:**
The company ensure all activities according RSPO SCCS requirement and procedure

**Auditor Conclusions:** Closed

**Date of closure:** February 21, 2016

**Verification result:**
The company provide minute of meeting for management review and invitation letter regarding management review meeting to all department (include of several topics information will be discuss in management review meeting).

Invitation letter dated on January 23,2016 has submitted and receipted by all department where management review meeting will conducting date on 27 January 2016 with several of topics are the result of internal and external audit, review of communication activities include of customer feedback and/or relevant parties, handling or acceleration from customer complaint and result of customer satisfaction survey, performance of process, conformity of product process, result of product analyst and services, status of correction, corrective & preventive actions, follow-up actions for previous management review, changes from internal and
external audit that could affect quality, food safety and feed safety management system and improvement strategy, achievement of quality objective, training plan and assessment of personnel knowledge, analysis of HACCP & PRP verification, result of supplier assessment, assessment of compliance laws & regulations implementation, recommendation for improvement or continuous improvement and RSPO SCCS.

Management review minute of meeting was available with several information are point discussion and relate of department, improvement planning, management comment/management decision, PIC, due date and remark for each point discussion. Point discussion from each section are the result of internal and external audit, review of customer feedback, handling of customer complaint and result of measuring satisfaction customer, performance of process, correction, corrective and preventive action, follow-up from previous management review, change that could affect management system, achievement of quality objective, training plan and assessment of knowledge level for employee, analysis form result of HACCP & OPRP verification, result of supplier assessment, assessment of implementation from compliance to laws and regulations and recommendation of improvement or continuous improvement.

3.4 Conclusion and Approval for RSPO Supply Chain Certification

The audit team has confirmed through the audit process that PT Aria Persada Indonesia has established and maintains an effective system to ensure compliance with the RSPO Supply Chain Certification System requirements (dated November 2014).

PT TUV Rheinland Indonesia recommends that PT Aria Persada Indonesia be approved for certification of compliance to the RSPO Supply Chain Certification System requirements.
4.0 CERTIFIED ORGANISATION’S ACKNOWLEDGEMENT OF INTERNAL RESPONSIBILITY

4.1 Date of Next Surveillance Visit

The next surveillance visit is planned for January 2017

4.2 Acknowledgements of Internal Responsibility and Formal Sign-Off by Client

It is acknowledged that the assessment visit was carried out as described in this report and we accept the assessment findings and report content.

Signed on behalf of PT Aria Persada Indonesia

Purnomo Adi N
Head of Management System

Signed on behalf of PT TUV Rheinland Indonesia

Hendra Fachrurozy
Lead Auditor
APPENDICES

Appendix 1: Details of Supply Chain Certification Certificate

Certificate

Certificate Registr. No.: 824 503 16020

Based on an audit according to the regulations stated in the RSPO Supply Chain Certification Systems: Nov 2014, and a signed contract, PT TÜV Rheinland Indonesia hereby certifies that the facility(s) listed below are found to be in compliance with the RSPO Supply Chain Certification Systems, version: Nov 2014. This guarantees that the criteria for processing RSPO certified sustainable palm oil through one or more of the supply chain models as stated in the RSPO Supply Chain Certification Systems have been met.

Certificate Holder Information
Name: PT Aria Persada Indonesia
RSPO Member no.: 2-0017-05-000-00
Address of operation certified: Jl. Kaptan Darmo Sugondo No. 56, Indro Village, Kebomas Subdistrict, Gresik District 61124, East Java Province, Indonesia
Management Representative: Mr. Purnomo Adi (Management Representative)
Contact no.: +62 (0) 31-3989101; Fax: +62 (0) 31-3978922
Email address: purnomo.adi@wilmar.co.id
RSPO registered parent company*: Wilmar International Limited (RSPO Member no.: 2-0017-05-000-00)
* Name of the RSPO registered member company of which the certificate holder is a subsidiary (if applicable).

PT TÜV Rheinland Indonesia was accredited to provide RSPO Principles & Criteria (P&C) and RSPO Supply Chain Certification System on June 6, 2014 (RSPO-ACC-013).

Indonesia, 06-03-2016
Lead Auditor: [Signature]
Director: [Signature]

www.tuv.com

QMF: RSPO-007c-11
Annex to certificate


Certificate Registra. No.: 824 503 16020

Certificate Information
Name(s) of certified facility(s) included: PT Aria Persada Indonesia

Scope & supply chain model assessed:
- Purchasing and processing RBD palm oil and selling m-Tag (methanized triglycerides) and olefin with implementation of the following SCCS:
  - Identity Preserved
  - Segregation
  - Mass Balance

An audit was performed, Report No. SCCS-16020. Proof has been furnished that the requirements according to RSPO Supply Chain Certification Systems: November 2014 are fulfilled.

The due date for all future surveillance audits is 05th January.

Validity: The certificate is valid from valid from 2016-03-06 until 2021-03-05

Date of first RSPO Supply Chain certification: 2016-03-06

Issued by PT TUV Rheinland Indonesia
www.tuv.com

This certificate remains property of PT TUV Rheinland Indonesia and can be withdrawn in case of terminations as mentioned in the contract or in case of changes or deviations of the above-mentioned data. The licensee is obliged to inform PT TUV Rheinland Indonesia immediately of any changes in the above-mentioned data. Only an original and signed certificate is valid.

Indonesia, 06-03-2016

Lead Auditor PT TUV Rheinland Indonesia

Director
Appendix 2: List of Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSRPO</td>
<td>Certified Sustainable Refined Palm Oil</td>
</tr>
<tr>
<td>RBDPO</td>
<td>RBD Palm Oil</td>
</tr>
<tr>
<td>MB</td>
<td>Mass Balance</td>
</tr>
<tr>
<td>P&amp;C</td>
<td>Principles &amp; Criteria</td>
</tr>
<tr>
<td>SCCS</td>
<td>Supply Chain Certification System</td>
</tr>
<tr>
<td>SI</td>
<td>Shipping Instruction</td>
</tr>
<tr>
<td>SO</td>
<td>Sales Order</td>
</tr>
<tr>
<td>SLP</td>
<td>Shipment Loading Detail (SLD);</td>
</tr>
<tr>
<td>SDD</td>
<td>Shipment Discharging Detail (SDD)</td>
</tr>
<tr>
<td>MTAG</td>
<td>Metathesis Triglycerin</td>
</tr>
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</table>

Appendix 3: Observations and Opportunities for Improvement

<table>
<thead>
<tr>
<th>No.</th>
<th>Observations / Opportunities for Improvement</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The company should ensure that the procedure for incoming certified raw material and finished goods delivery are fulfill with RSPO SCC standard</td>
</tr>
</tbody>
</table>

Appendix 4: List of supplier and buyer

<table>
<thead>
<tr>
<th>No.</th>
<th>Supplier name</th>
<th>Address</th>
<th>Telp. number</th>
<th>Contact Person</th>
<th>Certificate No.</th>
<th>Supply chain model</th>
<th>Expiry Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PT Wilmar Nabati Indonesia</td>
<td>Jl. Kapten Darmo Sugondo No. 56, Indro Village, Kebomas Subdistrict, Gresik District, East Java 61124</td>
<td>+62 – 031 3989101 / +62 - 031 3978922</td>
<td>Martinus Raharjo</td>
<td>185002248 001</td>
<td>Mass balance</td>
<td>31 January 2018</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>No.</th>
<th>Customer name</th>
<th>Address</th>
<th>Telp. number</th>
<th>Contact Person</th>
<th>Certificate No.</th>
<th>Supply chain model</th>
<th>Expiry Date</th>
</tr>
</thead>
</table>