



Conflict Minerals Compliance Services

Dodd-Frank Section 1502 and the SEC ruling on Conflict Minerals addresses concerns that conflict minerals finance armed groups committing human rights violations in several African countries. The rule describes due diligence and reporting requirements for companies whose products contain conflict minerals (tin, tantalum, tungsten and gold) that originate in the Democratic Republic of Congo (DRC) or the nine adjoining countries (covered countries). In response to this ruling, **TÜV Rheinland**, can help companies manage their compliance efforts. Companies must comply with the disclosure requirements by May 31, 2014 and the industries most likely to be affected include jewelry, electronics and technology, and automotive.

Our Conflict Minerals Compliance Services Include:

Due Diligence and Risk Assessment

Our team has over ten years of extensive experience in assessing risk across global supply chain networks. Our assessment is conducted against the Due Diligence Standard for Conflict Minerals issued by the OECD and includes:

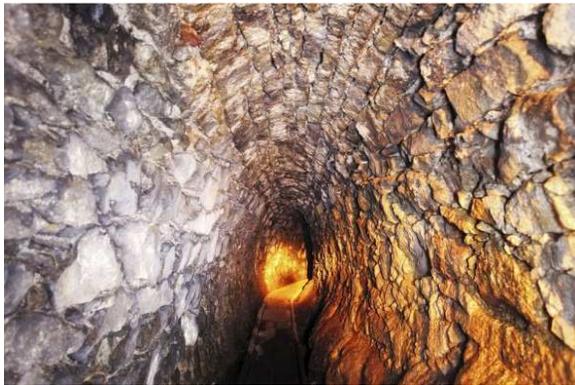
- Review of supply chain and control processes to identify and assess risk and determine if your company must report to the SEC under this new rule
- Perform any necessary reasonable country of origin inquiries (RCOI)
- Segment suppliers into risk tiers to identify high risk suppliers and materials according to the SEC requirements
- Assess and test current control and mitigation processes to determine where outstanding risks still exist for conflict minerals to be introduced to your supply chain

CORPORATE SOCIAL RESPONSIBILITY

Supplier Outreach and Management:

Our team partners with GreenSoft, a leading data management solution, on supplier outreach. TÜV Rheinland of North America, Inc.

- Contact and collect information from suppliers
- Validate supplier information
- Manage supply chain information in GreenSoft's software platform
- Initiate supplier monitoring activities with appropriate levels of track and trace functionality



Implementation:

Where gaps exist and where non-compliant conflict minerals are found, our team provides implementation services to help you establish strong management systems and control these risks.

- Creation of compliance framework and strategy
- Implementation of specific management elements to address high risk suppliers and materials including training, supplier contract requirements, supplier audits, etc.
- Utilizing our expert TÜV auditing teams in Greater China and India for independent third-party supplier auditing, a key risk control response
- Testing of supply chain controls and systems to determine global compliance
- Metrics development for continuous measurement and improvement of compliance program

Sustainability Advisory Services and Corporate Social Responsibility

We take a 100% client-focused approach to solutions. Our experts and advisors lower the hurdles you face and make it easy to grow and profit, sustainably. We work with leading global companies to overcome sustainability challenges by building knowledge, implementing tools, ensuring credibility, and effectively communicating with and engaging stakeholders.

Contact us to learn more about our services:

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